

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

112300-1062

In Re Application Of: Paulina Rodgers et al.



Serial No.

10/633,391

Filing Date

July 31, 2003

Examiner

Unknown

Group Art Unit

3713

Title: GAMING DEVICE INCLUDING A GAME HAVING A WILD SYMBOL RELATED AWARD

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA, 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

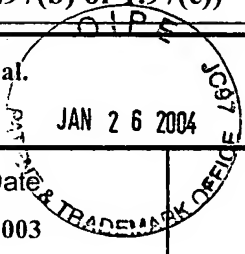
OR

☐ the fee set forth in 37 CFR 1.17(p).

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GAMING DEVICE INCLUDING A GAME HAVING A WILD SYMBOL RELATED AWARD

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on January 20, 2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Signature of Person Mailing Correspondence

Renec M. Street

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*This certificate may only be used if paying by deposit account.


Signature

Dated: January 20, 2004

Adam H. Masia
Reg. No. 35,602
Bell, Boyd & Lloyd, LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
(312) 807-4284

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.
112300-1062

In Re Application Of: **Paulina Rodgers et al.**

JAN 26 2004

Serial No.
10/633,391

Filing Date
July 31, 2003

Examiner
Unknown

Group Art Unit
3713

Title: **GAMING DEVICE INCLUDING A GAME HAVING A WILD SYMBOL RELATED AWARD**

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OR

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Examiner

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Group Art Unit

3713

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Signature of Person Mailing Correspondence

Renee M. Street

Typed or Printed Name of Person Mailing Certificate

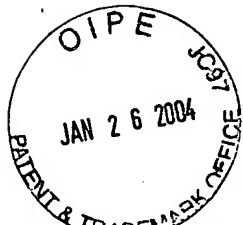
*This certificate may only be used if paying by deposit account.

Adam H. Masia
Signature

Dated: January 20, 2004

Adam H. Masia
Reg. No. 35,602
Bell, Boyd & Lloyd, LLC
P.O. Box 1135
Chicago, Illinois 60690-1135
(312) 807-4284

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Paulina Rodgers et al.
Appl. No.: 10/633,391
Conf. No.: 2820
Filed: July 31, 2003
Title: GAMING DEVICE INCLUDING A GAME HAVING A WILD SYMBOL
RELATED AWARD
Art Unit: 3713
Examiner: Unknown
Docket No.: 0112300-1062

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, be made during the course of examination of the above-identified application for United States patent. Pursuant to the Official Gazette Notice dated August 5, 2003, copies of the cited U.S. patents and patent applications are not included as this application was filed after June 30, 2003. However, copies of all other cited references are included with this form.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
5,308,065	May 3, 1994	Bridgeman et al.
5,332,228	July 26, 1994	Schultz
5,423,539	June 13, 1995	Nagao
5,431,408	July 11, 1995	Adams
5,449,173	Sept. 12, 1995	Thomas et al.
5,823,873	Oct. 20, 1998	Moody
6,059,658	May 9, 2000	Mangano et al.
6,089,977	July 18, 2000	Bennett
6,120,031	Sept. 19, 2000	Adams
6,159,095	Dec. 12, 2000	Frohm et al.
6,190,254 B1	Feb. 20, 2001	Bennett

6,220,959 B1	April 24, 2001	Holmes, Jr. et al.
6,251,013 B1	June 26, 2001	Bennett
6,299,165 B1	Oct. 9, 2001	Nagano
6,299,170 B1	Oct. 9, 2001	Yoseloff
6,302,398 B1	Oct. 16, 2001	Vecchio
6,311,976 B1	Nov. 6, 2001	Yoseloff et al.
6,322,078 B1	Nov. 27, 2001	Adams
6,358,144 B1	March 19, 2002	Kadlic et al.
6,419,579 B1	July 16, 2002	Bennett
6,439,993 B1	Aug. 27, 2002	O'Halloran
6,454,266 B1	Sept. 24, 2002	Breeding et al.
6,494,454 B2	Dec. 17, 2002	Adams
6,604,740 B1	Aug. 12, 2003	Singer et al.
6,616,142 B2	Sept. 9, 2003	Adams

FOREIGN PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
WO 9732285	Sept. 4, 1997	PCT
AU 199717601 B2	Nov. 6, 1997	Australia
AU 199917318 A1	Sept. 9, 1999	Australia
EP 0984408 A2	March 8, 2000	Europe
WO 00/66235	Nov. 9, 2000	PCT
WO 00/76606 A1	Dec. 21, 2000	PCT

OTHER DOCUMENTS

Black Swan Paytable Display written by IGT, published prior to 2001.

Boot Scootin Article written by Strictly Slots/Aristocrat Leisure Industries, PTY Ltd., published date unknown.

Break the Spell Advertisement written by Atronic Casino Technology, Ltd., published in 1999.

Break the Spell Article written by Strictly Slots/Atronic Casino Technology, Ltd., published in September 2000.** (see publication for clarification)

Break the Spell Atronic Web Page, published in January 2001.

Break the Spell Brochure, published in 1999.

Cash Chameleon Article written by Strictly Slots/Aristocrat Leisure Industries, PTY Ltd., published in April 2001.

Cossack Dancer Advertisement written by Olympic Video Gaming, published prior to 2002.

Description of Symbol Feature in Australian UFO Gaming Machine written by Barcrest Ltd., published in 1995.

ENCHANTED FOREST™ Gaming Description from Aristocrat, available in 1994.

Enchanted Unicorn Advertisement written by IGT, published in 2001.

Field Testing New Slots Article, written by Strictly Slots, published in July 2000.

Fishin' Buddies Article published in Strictly Slots/Anchor Games, published in April 2001.

Happy Camper Advertisement written by IGT, published in 2001.

Introducing the "Smiling Ape" Machine Advertisement (including Joker's Wild Poker description) written by IGT, published prior to 2001.

Jackpot Party Brochure and Articles written by WMS Gaming, Inc, published in 1988.

Joker's Wild Advertisement written by IGT, published prior to 2001.

Little Green Men, Jr. Brochure written by A.C. Coin and Slot Services Company, published prior to 2002.

Loco Loot Article written by Strictly Slots/Aristocrat Leisure Industries, PTY Ltd., published in May 2002.

Penguin Pays Advertisement written by Aristocrat Incorporated, published in 1998.

REEL MAGIC™ Gaming Machine Description written by IGT, available in 1986.

The Basics of Winning Video Poker (Chapter VI Deuces Wild & Chapter VII Jokers Wild) written by J. Edward Allen, published in 1990.

The Latest Buzz Article written by Bally Gaming Systems, published in Fall 2000.

Wild Streak Advertisement written by WMS Gaming, Inc., published in 2001.

Your Real Key to Gaming Success Advertisement (including Roll Over Beethoven and Wild Fortune) written by Olympic Video Gaming, published date unknown.

Applicants look forward to early and favorable consideration of this matter.

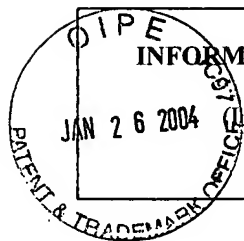
Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

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Chicago, Illinois 60690-1135
Phone: (312) 807-4284

Dated: January 20, 2004



**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

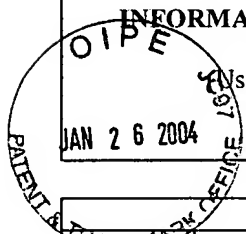
PTO Form 1449

Atty Docket No. 1123000-1062	Application No. 10/633,391
Applicant Paulina Rodgers et al.	
Filing Date July 31, 2003	Group 3713

U.S. PATENT DOCUMENTS							
Examiner's Initials		Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
		5,308,065	May 3, 1994	Bridgeman et al.			
		5,332,228	July 26, 1994	Schultz			
		5,423,539	June 13, 1995	Nagao			
		5,431,408	July 11, 1995	Adams			
		5,449,173	Sept. 12, 1995	Thomas et al.			
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		6,159,095	Dec. 12, 2000	Frohman et al.			
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		6,358,144 B1	March 19, 2002	Kadlic et al.			
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		6,494,454 B2	Dec. 17, 2002	Adams			
		6,604,740 B1	Aug. 12, 2003	Singer et al.			

Examiner:	Date Considered:
<p>*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary) PTO Form 1449	Atty Docket No. 1123000-1062	Application No. 10/633,391
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	6,616,142 B2	Sept. 9, 2003	Adams			

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Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 9732285	Sept. 4, 1997	PCT				
	AU 199717601 B2	Nov. 6, 1997	Australia				
	AU 199917318 A1	Sept. 9, 1999	Australia				
	EP 0984408 A2	March 8, 2000	Europe				
	WO 00/66235	Nov. 9, 2000	PCT				
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